#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

# INTERNATIONAL APPLICATION

UBLISHED UNDER THE PATENT COL RATION TREATY (PCT)

(51) International Patent Classification 6:

G06K 9/00

(11) Internati nal Publicati n Number:

WO 96/12245

A1

(43) Internati nal Publicati n Date:

25 April 1996 (25.04.96)

(21) International Application Number:

PCT/US95/13296

(22) International Filing Date:

17 October 1995 (17.10.95)

(30) Priority Data:

111325

18 October 1994 (18.10.94)

(71) Applicant (for all designated States except US): RAMOT UNIVERSITY AUTHORITY FOR APPLIED RESEARCH & INDUSTRIAL DEVELOPMENT LTD. [IL/IL]; 32 Haim Levanon Street, 61392 Tel Aviv (IL).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): SHOSHAN, Herbert, Z. [US/IL]; 344 Agur Street, 71908 Maccabim (IL). FEDER, Meir [IL/IL]; 12 Shirat Hazamir Street, 46420 Herzlia (IL). GORDON, Arik, Z. [IL/IL]; 9 Stern Street, 46412 Herzlia (IL).
- (74) Agents: GALLOWAY, Peter, D.; Ladas & Parry, 26 West 61st Street, New York, NY 10023 (US) et al.

(81) Designated States: AL, AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).

#### **Published**

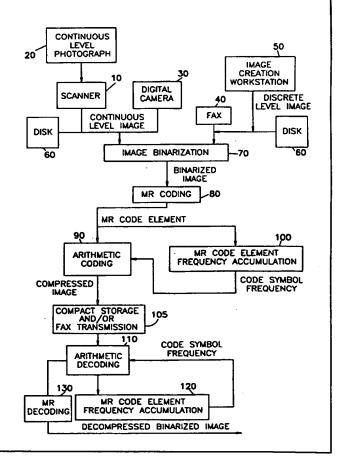
With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

#### (54) Title: APPARATUS AND METHOD FOR COMPRESSING BINARIZED IMAGES

#### (57) Abstract

An apparatus and method for compressing binarized images (90) comprising receiving a binarized image (70) and generating a first sequence of first code symbols (80) representing the binarized image wherein at least one row of the image is represented in run-length encoded format and encoding a portion of the first sequence of code symbols using a preliminary encoding scheme, thereby to provide a first portion of a second sequence of code symbols, and, while encoding, accumulating the frequency of at least some of the first code symbols thus far encoded (100) and generating an additional portion of the second sequence using a modified version of the code scheme such that at least one subsequent code symbol in the first sequence with a large accumulated frequency is encoded more compactly in the second portion than at least one subsequent code symbol in the first sequence with a small accumulated frequency.



3

#### APPARATUS AND METHOD FOR COMPRESSING BINARIZED IMAGES

#### FIELD OF THE INVENTION

The present invention relates to methods for compressing binarized images, generally.

# BACKGROUND OF THE INVENTION

Arithmetic coding is described in:

Witten, I. H et al, "Arithmetic coding for data compression", Computing Practices, Communications of the ACM, Jun 1987, Vol. 30(6); and

"Arithmetic coding and statistical modeling", Dr. Dobb's Journal, Feb. 1991, pp. 16 - 29.

The MR decoding scheme is described in CCITT Recommendation T.4 and T.6 for Groups 3 and 4.

A conventional binarizing technique is described in Foley, J. et al, <u>Computer Graphics: Principles and practice</u>, 2nd Ed., Section 13.1.2, pages 568 - 573.

The disclosures of all of the above publications are hereby incorporated by reference.

2

# SUMMARY OF THE INVENTION

The present invention seeks to provide an improved image manipulation system.

There is thus provided in accordance with a embodiment of the present invention a method for compressing binarized images including receiving a binarized image and generating a first sequence of first code symbols representing the binarized image wherein least one row of the image is represented in run-length encoded format, and encoding a portion of the first sequence of code symbols using a preliminary encoding scheme, thereby to provide a first portion of a second sequence of code symbols, and, while encoding, accumulating the frequency of at least some of the first code symbols thus far encoded and generating an additional portion of the second sequence using a modified version the code scheme such that at least one subsequent code symbol in the first sequence with a large accumulatfrequency is encoded more compactly in the second portion than at least one subsequent code symbol in the first sequence with a small accumulated frequency.

Further in accordance with a preferred embodiment of the present invention, a modified Huffman coding scheme is employed to generate the first sequence of first code symbols.

In accordance with another preferred embodiment of the present invention, there is provided a method for compressing binarized images including receiving a binarized image and generating a first sequence of first code symbols representing the binarized image including a representation of one row of the binarized image and a representation of differences between at least one subsequent row and at least one previous row, and encoding a

portion of the first sequence of code symbols using a preliminary encoding scheme, thereby to provide a first portion of a second sequence of code symbols, and, while encoding, accumulating the frequency of at least some of the first code symbols thus far encoded and generating an additional portion of the second sequence using a modified version of the code scheme such that at least one subsequent code symbol in the first sequence with a large accumulated frequency is encoded more compactly in the second portion than at least one subsequent code symbol in the first sequence with a small accumulated frequency.

Further in accordance with a preferred embodiment of the present invention, the encoding scheme used to encode the first sequence of code symbols is continually modified such that code symbols in the first sequence with a large accumulated frequency are encoded more compactly in the second portion than subsequent code symbols in the first sequence with a small accumulated frequency.

Still further in accordance with a preferred embodiment of the present invention, a modified-read coding scheme is employed to generate the first sequence of first code symbols.

Further in accordance with a preferred embodiment of the present invention, a modified modified-read coding scheme is employed to generate the first sequence of first code symbols.

still further in accordance with a preferred embodiment of the present invention, the method also includes binarizing a discrete level image, thereby to provide the binarized image.

Additionally in accordance with a preferred embodiment of the present invention, the method also includes binarizing a continuous level image, thereby to provide the binarized image.

Still further in accordance with a preferred

4

embodiment of the present invention, arithmetic coding is employed to translate the accumulated frequency of at least some of the first code symbols into second code symbols.

There is also provided, in accordance with a preferred embodiment of the present invention, apparatus for compressing binarized images including a run-length encoder operative to receive a binarized image and to generate a first sequence of first code symbols representing the binarized image wherein at least one row of image is represented in run-length encoded format, and an adaptive encoder operative to encode a portion of first sequence of code symbols using a preliminary encoding scheme, thereby to provide a first portion of a second sequence of code symbols, and, while encoding, to accumulate the frequency of at least some of the first code symbols thus far encoded and to generate an additional portion of the second sequence using a modified version of the code scheme such that at least one subsequent code symbol in the first sequence with a large accumulated frequency is encoded more compactly in the second portion than at least one subsequent code symbol in the first sequence with a small accumulated frequency.

There is further provided, in accordance with a preferred embodiment of the present invention, apparatus for compressing binarized images including a binarized image compressor operative to receive a binarized image and to generate a first sequence of first code symbols representing the binarized image, the first sequence including a representation of one row of the binarized image and a representation of differences between at least one subsequent row and at least one previous row, and an adaptive encoder operative to encode a portion of the first sequence of code symbols using a preliminary encoding scheme, thereby to provide a first portion of a second sequence of code symbols, and, while encoding, to

5

accumulate the frequency of at least some of the first code symbols thus far encoded and to generate an additional portion of the second sequence using a modified version of the code scheme such that at least one subsequent code symbol in the first sequence with a large accumulated frequency is encoded more compactly in the second portion than at least one subsequent code symbol in the first sequence with a small accumulated frequency.

Further in accordance with a preferred embodiment of the present invention, the binarized image compressor employs a modified-read coding scheme to generate the first sequence of first code symbols.

Still further in accordance with a preferred embodiment of the present invention, the binarized image compressor employs a modified modified-read coding scheme to generate the first sequence of first code symbols.

Additionally in accordance with a preferred embodiment of the present invention, the adaptive encoder employs arithmetic coding to translate the accumulated frequency of at least some of the first code symbols into second code symbols.

still further in accordance with a preferred embodiment of the present invention, the encoding scheme used to encode the first sequence of code symbols is continually modified such that code symbols in the first sequence with a large accumulated frequency are encoded more compactly in the second portion than subsequent code symbols in the first sequence with a small accumulated frequency.

# BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be understood and appreciated from the following detailed description, taken in conjunction with the drawings in which:

Fig. 1 is a simplified block diagram of an image manipulation system constructed and operative in accordance with a preferred embodiment of the present invention, and

Fig. 2 is a simplified flowchart illustrating a preferred mode of operation in which the MR code element frequency accumulation unit of Fig. 1 processes a single MR code element in a sequence.

Attached herewith are the following appendices which aid in the understanding and appreciation of one preferred embodiment of the invention shown and described herein:

Appendix A is a computer listing of a preferred software embodiment of the MR coding, arithmetic coding and MR code element frequency accumulation units of Fig. 1, and

Appendix B is a computer listing of a preferred software embodiment of the arithmetic decoding, MR code frequency accumulation and MR decoding units of Fig. 1.

7

# DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Reference is now made to Fig. 1 which is a simplified block diagram of an image manipulation system constructed and operative in accordance with a preferred embodiment of the present invention.

As shown, a digital representation of an image is provided from any suitable source, such as a scanner 10 which scans a substrate such as a continuous level photograph 20, a digital camera 30, a fax machine 40, an image creation workstation 50 such as a Macintosh equipped with the Adobe Photoshop software package, or a storage medium such as a hard disk 60. The digital representation of the image may be either a continuous level image or a discrete level image such as a document or other black and white image.

If the digital representation of the image is not binary, the digital representation is binarized, as indicated in Fig. 1 by image binarization block 70, using any conventional binarizing technique such as those described in Foley, J. et al, <u>Computer Graphics: Principles and practice</u>, 2nd Ed., Section 13.1.2, pages 568 - 573.

The binarized image is then coded by MR coding unit 80, using the MR coding scheme described in CCITT Recommendation T.4 and T.6 for Groups 3 or 4.

The MR coded binarized image generated by MR coding unit 80 then undergoes arithmetic coding in arithmetic coding unit 90. The arithmetic coding unit 90 receives as input:

- a. the sequence of MR code elements which forms the MR coded binarized image and
  - b. the estimated probability of each MR code element, which is provided by an MR code element frequency accumulation unit 100. Initially, the estimated probabilities of all MR code elements are typically taken to be equal. However, as the MR code element sequence flows

8

into the MR code element frequency accumulation unit 100, the estimated probabilities change based on the number of times each MR code element is encountered.

The sequence of MR code elements typically includes code elements of 3 types:

- a. MR control type code elements;
- b. Black run length type code elements; and
- c. White run length type code elements.

The frequency accumulation unit 100 typically receives as input each MR code element and, associated therewith, an indication of the type of that MR code element. Typically, unit 100 computes the relative code element frequency for each code element within its own code element type.

The arithmetic coding unit 90 may, if desired, be replaced by an entropy encoder or an adaptive Huffman encoder. If this is the case, then the arithmetic decoding unit 110, described below, is replaced by an entropy decoder or adaptive Huffman decoder, respectively.

One software embodiment of arithmetic coding unit 90 is described in "Arithmetic coding and statistical modeling", Dr. Dobb's Journal, Feb. 1991, pp. 16 - 29. The above reference also provides a software embodiment of arithmetic decoding unit 110.

An alternative implementation of MR code element frequency accumulation unit 100 is described below with reference to Fig. 2.

The output of the arithmetic coding unit 90 is a very compact representation of the original image which is suitable, for example, for compact storage on any suitable optical or magnetic medium and/or for rapid facsimile transmission, 105, on conventional equipment which preferably has a error correction capability, such as the V32bis modem.

The compact representation of the original image is decompressed after being transmitted or after

9

being retrieved from archival. To decompress the compact representation, the compressed data stream is fed to an arithmetic decoding unit 110 which replaces each arithmetically coded element with a corresponding MR code element according to the frequency of the arithmetically coded element. The frequency information is provided by an MR code element frequency accumulation unit 120 which is typically identical to unit 100. Initially, the estimated probabilities of all MR code elements are typically taken to be equal. However, as the MR code element sequence flows into the MR code element frequency accumulation unit 120, the estimated probabilities change based on the number of times each MR code element is encountered.

The output of the arithmetic decoding unit 110 is a sequence of MR code elements which is decoded by an MR decoding unit 130 using the MR decoding scheme described in CCITT Recommendation T.4 and T.6 for Groups 3 or 4.

The output of MR decoding unit 130 is a decompressed binarized image which is substantially identical to the binarized image generated by image binarization unit 70. Fig. 2 is a simplified flowchart illustrating a preferred mode of operation in which either of the MR code element frequency accumulation units 100 or 120 of Fig. 1 processes a single MR code element in a sequence of MR code elements.

If (process 210) there is a decision to reset, i.e. to begin accumulating frequencies from zero, then the method advances to stage 220. Otherwise, the method advances to stage 240. A reset is performed, for example, if a new image is to be processed whose characteristics are thought to differ significantly from the previous image processed.

In process 220, a table is allocated for each of the three MR code element types. The number of cells

10

in each table typically exceeds the number of code elements of each type, by 1. The difference between the content of the i'th cell in the table and the (i+1)th cell in the table, also termed herein "the i'th interval", is indicative of the relative frequency of the i'th code element, within its code element type.

Since there are 92 code elements of the White Run Length type and of the Black Run Length type, the tables for these two types each typically have 93 cells. Since there are 9 code elements of the MR Control type, the table for the MR Control type typically has 10 cells.

PROCESS 230: The table contents are initialized by generating equal intervals such as, typically, intervals having a length of 1.

PROCESS 240: Input is received: A single MR code element from the MR code element sequence representing the image, and, associated therewith, its MR code element type, is received as input.

PROCESS 250: Unit 100 allows arithmetic coder 90 to arithmetically code the current MR code element, by supplying the frequency intervals stored in the table corresponding to the current MR code element to the arithmetic coder 90. For example, if the MR code element is of the MR\_control type, the intervals stored in the MR control table are employed.

Unit 120 allows the decoder 110 to arithmetically decode the current MR code element, by supplying the same information to decoder 110.

process 260: The appropriate table is updated by incrementing by 1 the contents of each cell starting from the cell following the cell corresponding to the current code element.

For example, if the fourth MR\_control type code element is encountered, the contents of the fifth to ninth cells of the MR-control table are incremented by 1.

Preferably, old frequency information is given

11

less weight than new frequency information. One implementation of this rule is:

PROCESS 270: For each type t, each time  $N_{\text{t}}$  code elements of type t have been processed, divide the cell contents of the frequency interval table of type t, by a suitable number such as 2. Suitable  $N_{\text{t}}$  values are: 256 for MR control type, 2048 for black and white run length types.

Appendix A is a computer listing in C language, of a preferred software embodiment of the MR coding, arithmetic coding and MR code element frequency accumulation units of Fig. 1.

Appendix B is a computer listing in C language, of a preferred software embodiment of the arithmetic decoding, MR code element frequency accumulation and MR decoding units of Fig. 1.

The programs listed in Appendices A and B may be run on a conventional computer such as any UNIX computer.

It is appreciated that the MR coding described hereinabove may, alternatively be replaced by MMR coding or other similar coding schemes.

It is appreciated that the invention shown and described herein is suitable for compressing and decompressing any type of binarized image, such as binarized discrete level images or binarized continuous level images, also termed herein "halftone images".

In certain applications, it may be desirable to use the compression methods shown and described herein to compress only a portion of a binarized image. For example, in medical imaging applications, the compression methods shown and described herein may be employed to generally losslessly compress the foreground of the medical image whereas the background of the medical image may be compressed using lossy techniques.

12

It is appreciated that the software components of the present invention may, if desired, be implemented in ROM (read-only memory) form. The software components may, generally, be implemented in hardware, if desired, using conventional techniques.

It is appreciated that the particular embodiment described in the Appendices is intended only to provide an extremely detailed disclosure of the present invention and is not intended to be limiting.

It is appreciated that various features of the invention which are, for clarity, described in the contexts of separate embodiments may also be provided in combination in a single embodiment. Conversely, various features of the invention which are, for brevity, described in the context of a single embodiment may also be provided separately or in any suitable subcombination.

It will be appreciated by persons skilled in the art that the present invention is not limited to what has been particularly shown and described hereinabove. Rather, the scope of the present invention is defined only by the claims that follow:

APPENDIX A

C:\ARIK\COMPRESS\PTNTSRC\AGCMP.C - Thu Aug 25 09:03:04 1994
/

AGCMP COMPRESSION UTILITY

The following sources implement the suggested compression technique previously described.

The agcmp program compresses a raw binary file (with no headers and with a known line length) to a compressed file on the disk.

FILES:

agcmp.c - the main loop for compression. Converts the raw file to MR codes and passes them to the arithmetic coder.

The following sources are common to both programs - agcmp and agexp (Decompression) and handle the statistical estimation (element frequency accumulation) and the arithmetic coding:

amdi.c - Statistical estimation. Based on a source from Dr. Dobbs journal, February 1991, "Arithmatic Coding and Statistical Modeling" by Mark R. Nelson, but modified to fit compression of MR codes.

acoder.c, abitio.c - Implement the arithmetic coder, based on Dr. Dobbs

COMPILATION:

agemp: cc agemp.c amdl.c acoder.c abitio.c

FURTHER INFORMATION about agcmp.c:

-----

**AUTHOR: Arik Gordon** 

INPUT: A rastered file (No headers!) with 1728 binary pixels per line

OUPUT: compressed file.

USAGE: agcmp IN\_FILE OUT\_FILE

Desc: This source opens a rastered binary file, converts it to codes according to MR standard, and passes the codes to the arithmatic coder. The compressed file is constructed from a header (see agcmp.h)

and the compressed entropy coded stream.

finclude <stdio.h>
finclude <stdiib.h>
finclude <string.h>
finclude <fcntl.h>
finclude <memory.h>
finclude <mailoc.h>
finclude <sys\types.h>
finclude <sys\types.h>
finclude <dos.h>

#include "acoder.h"
#include "amodel.h"

```
#include "abitio.h"
#include "agcmp.h"
static char *last_line_in_prev_strip;
                                            // returns size in bytes
long agcmp(char *infile, char *outfile);
long add_file(char *in, int out);
tong mr_compress_strip(char bufil), int lines);
void modified READ(char *prev,char *cur, char *next, int length);
void one_line_modified_read(char *prev, char *curr, int length);
void put_r((int len, int color); void put_code(int len, int color);
void put_EOL0;
 find next(int color, int pos, char *line, int len);
void erase_single_dots(char *prev, char *curr, char *next, int len);
main(int argc, char *argv())
  if (argc! = 3) {
    fprintfistderr, "\nusage: %s IMG_file_name G3_output_file_name\n", argviOD;
    exit(9);
  printf("total bytes = %id\n", agcmp(argv[1], argv[2]);
long agcmp(char *infile, char *outfile)
                                           // returns size in bytes
  long total bytes = 0L;
  unsigned int i, j=0, k, file count;
  char *bufi;
  unsigned size_in_bytes;
  AG_HEADER ag_header;
  int fdi, fdtmp;
  if ((fdi = open(infile, O_RDONLY | O_BINARY, S_IREAD | S_IWRITE) < 1)
    BigErr(9, "cmr: Can't Open");
  /* INITIALIZE ARITHMETIC CODER */
  initialize_model0;
  init mr model0;
  initialize output bitstream(outfile, &ag header, sizeof(AG HEADER));
  initialize_arithmetic_encoder0;
  if ( (bufi = malloc(STRIP SIZE BYTES PER LINE)) = = NULL )
    BigErr(9, "AGCMP: no mem");
  if ( (last_line_in_prev_strip = malloc(PELS_PER_LINE)) = = NULL)
     BigErr(9, "agcmp1: no mem");
  memset(last line in prev strip, 0, PELS_PER_LINE);
  ag_header.number_of_lines_in_file = 0;
  /* Main loop for Compression */
  while ((file_count=read(fdl, bufl, STRIP_SIZE*BYTES_PER_LINE) > = BYTES_PER_LINE) {
     fprintf(stderr, "COMPRESSING STRIP #%d\r", j++);
```

```
ag neader.number_of_lines_in_file + = file_count/BYTES_PER_LINE;
    mr_compress_strip(bufi, file_count/BYTES_PER_LINE);
     _heapmin0;
  fprintf(stderr, "\n");
  free(last line_in_prev_strip);
  free(bufi);
  close(fdi);
  heapmin0;
  /* Finish and close arithmetic coding */
  code_EOF0;
  flush_arithmetic_encoder();
  total_bytes = flush_output_bitstream(&ag_header, sizeof(AG_HEADER));
  free_amdl_bufs0;
  return(total_bytes);
}
/* compress one strip (arbitrary size, defined in agcmp.h) */
long mr_compress_strip(char bufill, int lines)
  char array(3)(PELS PER LINE);
  unsigned k, i, cur_line=2, off;
  // Fill first 2 lines in array.
  for (k=0; k < min(2, lines); k++)
     for (i=0; i < PELS_PER_LINE; i++)
       array(k+1)(i) = ((bufi(k*BYTES_PER_LINE+i/8) & (1 << (7-(1)%8))))! = 0);
  if (lines > 0) // There is at least 1 line to compress
     modified_READ(NULL, &array(1)(0), &array(2)(0), PELS_PER_LINE); // First array compression
  /* convert packed bits to "1 bit per byte" format */
  while (cur_line < lines) {
     memcpy(&array(0)(0), &array(1)(0), 2 * PELS PER LINE);
     for (i = 0; i < PELS PER LINE; i++) {
       off = cur line * BYTES PER LINE + 1/8;
       if (bufiloff) = = 0) {
         memset(&(array(2)[i]), 0, 8);
         i+=7;
         continue:
       if (bufiloff) = = 255) {
         memset(&(array(2)(i)), 1, 8);
         i+=7;
         continue:
       array(2)(i) = ((bufitoff) & (1 << (7-(1%8))))! = 0);
     cur_line++;
     /* compress one line (given the previous line)*/
     /* twe also provide the next line in case some filtering is
     modified_READ(&array(0)(0), &array(1)(0), &array(2)(0), PELS_PER_LINE);
```

```
/* do last line */
  if (lines > 1) {
    memcpy(&array(0)(0), &array(1)(0), 2 * PELS_PER_LINE);
    modified_READ(&array(0)(0), &array(1)(0), NULL, PELS_PER_LINE);
  return(1);
}
void modified_READ(char *prev,char *cur, char *next, int length)
  int j;
  long i;
  cur(0) = WHITE; // don't accept a black pixel on line beginning
  if (prev = = NULL) {
     one_line_modified_read@ast_line_in_prev_strip, cur, length);
     return:
  memcpy(last_line_in_prev_strip, cur, PELS_PER_LINE);
  one_line_modified_read(prev, cur, length);
/* Here we actuallt translate the line to MR codes + Run-Lengths
  and pass the codes to the arithmetic coder */
void one_line_modified_read(char *prev, char *curr, int length)
  int a0, a1, a2, b1, b2, a0_color;
  a0 = -1; a0 color = WHITE;
  // *curr = WHITE; // don't accept a black pixel on line beginning
   do {
     a1 = find_next(la0_color, a0+1, curr, length);
     a2 = find_next(a0_color, a1+1, curr, length);
     if (a0 = -1)
       b1 = find_next(la0_color, a0+1, prev, length);
     else if (prevta0) = a0 color)
       b1 = find_next(la0_color, a0+1, prev, length);
     else {
       b1 = find next(a0 color, a0+1, prev, length);
       b1 = find_next(la0_color, b1+1, prev, length);
     b2 = find_next(a0_color, b1+1, prev, length);
     // code it
     if (b2 < a1) { // PASS mode
       //printf("PASS (a0 = %d, a1 = %d, a2 = %d, b1 = %d, b2 = %d)\n", a0, a1, a2, b1, b2);
       code 1(MR CONTROL, PASS);
       a0 = \bar{b}2:
```

PCT/US95/13296

18

```
) else if (abs(a1-b1) < = 3) { // VERTICAL mode
      switch (a1-b1) {
       case 0:
           //printf("VO(a0=\%d, a1=\%d, a2=\%d, b1=\%d, b2=\%d)\n", a0, a1, a2, b1, b2);
           code 1(MR CONTROL, VO);
           break;
         case 1:
           //printf("VR1 (a0 = %d, a1 = %d, a2 = %d, b1 = %d, b2 = %d)\n", a0, a1, a2, b1, b2);
           code 1(MR CONTROL, VR1);
           break;
         case -1:
           //printf("VL1 (a0 = %d, a1 = %d, a2 = %d, b1 = %d, b2 = %d)\n", a0, a1, a2, b1, b2);
           code 1(MR CONTROL, VL1);
           break:
           //printf("VR2 (a0=%d, a1=%d, a2=%d, b1=%d, b2=%d)\n", a0, a1, a2, b1, b2);
           code_1(MR_CONTROL, VR2);
           break;
         case -2:
           //printf("VL2 (a0 = \%d, a1 = \%d, a2 = \%d, b1 = \%d, b2 = \%d)\n^*, a0, a1, a2, b1, b2);
           code 1(MR CONTROL, VL2);
           break;
         case 3:
           //printf(^{\text{VR3}} (a0 = %d, a1 = %d, a2 = %d, b1 = %d, b2 = %d)\n*, a0, a1, a2, b1, b2);
           code_1(MR_CONTROL, VR3);
           break:
         case -3:
           //printf("VL3 (a0=%d, a1=%d, a2=%d, b1=%d, b2=%d)\n*, a0, a1, a2, b1, b2);
           code_1(MR_CONTROL, VL3);
           break;
       a0 = a1;
    } else { // HORIZONTAL MODE
      if (20 = -1)
         a0 = 0;
      //printf("HORIZONTAL: COLOR = %d, LEN1 = %d, LEN2 = %d (a0 = %d)\n*, a0_color, a1-a0, a2
     -> -a1, a0);
       code 1(MR CONTROL, HOR);
      put_ri(a1-a0, a0_colon;
      put_rl(a2-a1, la0_color);
      a0 = a2:
    if (a0 < length)
       a0_{color} = curt(a0);
  ) while (a0 < length);
  //printf("EOL\n");
  //put_EOLdine); /* we don't need it because next a0 is beyond line */
/* converts a single run-length (unlimited length) to several runs
 according to MR (Group3,4) spec */
void put_right len, int color)
  If den > 63) {
    put_code((len / 64) + 63, color);
    len -= (len / 64) * 64;
```

```
put_code(len, color);
/* codes one legitimate run */
void put_code(int len, int color)
  code_1(color, BW_SYMBOLS - len - 1);
}
/* We do not need this if we know the line length in advance */
void put_EOL0
  //code_1WHITE, EOU;
  //code_1(BLACK, EOL);
/* finds the next color interchange */
find_next(int color, int pos, char *line, int len)
  int i:
  char *ptr;
  if (pos > ien-1)
     return(len);
  if ((ptr = memchr(line+pos, color, len-pos)) = = NULL)
     return len:
  else
     return (ptr-line);
BigErr(int n, char *s) // too many bits in strip.
   printf("Err %d - %s", n, s);
   exit(9):
}
/* codes 1 symbol (Control or Black Run or White Run) */
code_1(int mode, int c)
   SYMBOL S;
   convert_int_to_symbol( c, &s, mode);
   encode_symbol( &s );
   update_model(c);
/* to finish with the arithmetic coding: */
 code_EOF0
   SYMBOL S:
   convert_int_to_symbol(EOF, &s, MR_CONTROL);
   encode_symbol( &s );
 }
```

#define beep0

putch(7)

20

```
/* Desc: Header file mainly for agcmp.c, agexp.c */
/* AUTHOR: Arik Gordon
/* This is a header that appears at the begining of the compressed file */
typedef struct AG_HEADER {
  long total_bytes;
  long number_of_lines_in_file;
} AG_HEADER;
/* In our implementation we assume a standard fax document with 1728 pixels
 per line */
#define PELS_PER_LINE
                           1728
#define BYTES_PER_LINE
                            216
#define STRIP_SIZE 100
#define WHITE 0
#define BLACK 1
#define MR_CONTROL 2
#define MR_SYMBOLS 9
#define BW_SYMBOLS 93
#define VO 8
#define PASS 2
#define VL1 3
#define VR1 4
#define HOR 5
#define VL2 6 #define VL3 7
#define VR2 1
#define VR3 0
```

#\*\*\*

### 2 1

#### C:\ARIK\COMPRESS\PTNTSRC\AMDL.C - Thu Aug 25 09:04:58 1994

```
    Listing 9 –.amdl.c

  AUTHOR: Originally from Dr. Dobbs, Feb 1991, Substantially modified
       by Arik Gordon.

    This is the statistical estimation module for compressing

 * MR codes. There are three types of codes: MR_CONTROL, BLACK Run-Length
 * and WHITE Run-Length. For each type we have a seperate statistical
  estimator of order 0 for run-lengths and order 2 for MR CONTROL
* This is a relatively simple model. For each symbol type,
* the totals for all of the symbols are stored in an corresponding
 * array (e.g. *mr_storage*). This array has valid indices from -1
* to Ni. The reason for having a -1 element is because the EOF
* symbols is included in the table, and it has a value of -1.
* (Ni = number of different symbol for each type)
* The total count for all the symbols is stored in totals[Ni], and
 * the low and high counts for symbol c are found in "array"[c] and
* array(c+1).
•/
#include <stdio.h>
#include <stdlib.h>
#include <malloc.h>
#include <io.h>
#include <ermo.h>
#include <fcntl.h>
#include <sys\types.h>
#include <svs\stat.h>
#include "AGCMP.H"
#include "acoder.h"
#include "amodel.h"
* In order to create an array with indices -1 through num_of_symbols, I have
* to do this funny declaration. totals[-1] = = storage[0].
short int **mr storage;
short int *wt_storage;
short int *bl storage:
short int *totals;
static int num_of_symbols, maximum_scale;
static int prev, prev1;
* When the model is first started up, each symbols has a count of
* 1, which means a low value of c+1, and a high value of c+2.
void initialize_model0
  int i, j, order_2_symbols;
  prev = prev1 = 0:
  num_of_symbols = MR SYMBOLS;
```

#### C:\ARIK\COMPRESS\PTNTSRC\AMDL.C - Thu Aug 25 09:04:58 1994

```
order_2_symbols = num_of_symbols * num_of_symbols;
  mr storage = (int **) mailoc(sizeof(int *) * (order 2 symbols +1));
  for (i=0; i<order_2_symbols; i++)
    mr storage(i) = malloc(sizeof(int) * (num_of_symbols + 2));
  for (j=0; j < order_2_symbols; j++) {
   totals = &(mr_storage(j)[1]);
   for (i = -1; i \le num \text{ of symbols}; i++)
    totals(i) = i + 1;
  num of symbols = BW SYMBOLS;
  wt storage = malloc((num_of symbols + 2) * sizeof(int);
  totals = &(wt_storage(1));
  for (i = -1; i < = num_of_symbols; i+ +)
    totals(i) = i + 1;
  bl_storage = malloc((num_of_symbols + 2) * sizeof(int);
  totals = &(b) storage(1);
  for (i = -1; i < = num_of_symbols; i++)
    totals(i) = i + 1;
* Updating the model means incrementing every single count from
* the high value for the symbol on up to the total. Then, there
* is a complication. If the cumulative total has gone up to
* the maximum value, we need to rescale. Fortunately, the rescale
* operation is relatively rare.
void update_model(int symbol)
  int i:
  for (symbol + + ; symbol < = num_of_symbols; symbol + + )
    totalsi symbol 1++;
  if (totalsl num_of_symbols ) = = maximum_scale)
    for (i = 0; i < = num \text{ of symbols}; i++)
      totals( | 1/= 2:
      if (totals[i] < = totals[i-1])
        totals(i) = totals(i-1) + 1;
* Finding the low count, high count, and scale for a symbol
* is really easy, because of the way the totals are stored.
* This is the one redeeming feature of the data structure used
* in this implementation.
```

### C:\ARIK\COMPRESS\PTNTSRC\AMDL.C - Thu Aug 25 09:04:58 1994

```
int_convert_int_to_symbol(int c, SYMBOL *s, int mode)
{
  switch(mode) {
    case WHITE:
      totals = wt_storage + 1;
      num of symbols = BW_SYMBOLS;
      maximum scale = 2048;
      break;
    case BLACK:
      totals = bl storage + 1;
      num of symbols = BW SYMBOLS;
      maximum scale = 2048;
      break:
    case MR CONTROL:
      num of symbols = MR_SYMBOLS;
      totals = mr_storage((prev1 * num_of_symbols + prev)) + 1;
      prev1 = prev;
      prev = c;
      maximum scale = 256;
      break:
  }
  s->scale = totalsi num of symbols 1;
  s->low_count = totals[c];
  s->high_count = totals(c+1);
  return(0);
 * Getting the scale for the current context is easy.
void get_symbol_scale(SYMBOL *s, int mode, int prev, int prev1)
  switch(mode) {
    case WHITE:
      totals = wt_storage + 1;
       num_of_symbols = BW_SYMBOLS;
       maximum_scale = 2048;
      break;
    case BLACK:
       totals = bl storage + 1;
       num_of_symbols = BW_SYMBOLS;
       maximum scale = 2048;
       break;
    case MR_CONTROL:
       num_of_symbols = MR_SYMBOLS;
      totals = mr_storage((prev1 * num_of_symbols + prev)) + 1;
       maximum scale = 256;
       break;
  s->scale = totals( num of symbols );
}
 * During decompression, we have to search through the table until
 * we find the symbol that straddles the "count" parameter. When
 * it is found, it is returned. The reason for also setting the
```

# C:\ARIK\COMPRESS\PTNTSRC\AMDL.C - Thu Aug 25 U3:U4:58 1334

```
    high count and low count is so that symbol can be properly removed

* from the arithmetic coded input.
int convert_symbol_to_int(int count, SYMBOL *s)
  int c:
  for ( c = num_of_symbols-1; count < totals( c 1; c-)
  s->high_count = totals( c+1 );
   s->low_count = totals( c );
   return(c):
/* The following is an optional module, that initializes the statistical
  estimation tables with pre-defined values. It can slightly improve
  compression of small files */
 init_mr_model0
   int i:
   update_initial_mr_model( V0, 6);
   update_initiai_mr_model(VL1, 2);
   update_initial_mr_model(VR1, 2);
   update_initial_mr_model( HOR, 2);
    update_initial_mr_model( PASS, 1);
 }
 update_initial_mr_model( int symbol, int count )
    int I, prev, prev1, J;
    num_of_symbols = MR_SYMBOLS;
    maximum_scale = 256;
    for (prev = 0; prev<num_of_symbols; prev++)
     for (prev1 = 0; prev1 < num_of_symbols; prev1 + +) {
  totals = mr_storagel(prev1 * num_of_symbols + prev)] + 1;
       for (j=0; j<\bar{c}ount; j++)
        update_model(symbol);
  }
  free_amdl_bufs0
     int i, order_2_symbols;
     num_of_symbols = MR_SYMBOLS;
     order 2_symbols = num_of_symbols * num_of_symbols;
     for (i=0; i < order_2_symbols; i++)
       free(mr_storage(iD;
     free(mr_storage);
     num_of_symbols = BW_SYMBOLS;
     free(wt storage);
```

C:\ARIK\COMPRESS\PTNTSRC\AMDL.C - Thu Aug 25 09:04:58 1994

free(bl\_storage);
// \_neapmin0;

# C:\ARIK\COMPRESS\PTNTSRC\AMODEL.H - Thu Aug 25 08:58:06 1994

```
Listing 8 – amodel.h

This file contains all of the function prototypes and
external variable declarations needed to interface with
the modeling code found in amdl.c.

//

Eternal variable declarations.

//
extern int max_order;
extern int flushing_enabled;

/*
Prototypes for routines that can be called from MODEL-X.C

*/
void initialize_model( void );
void update_model( int symbol );
int convert_int_to_symbol (int symbol, SYMBOL *s, int mode );
void get_symbol_scale( SYMBOL *s, int mode, int prev, int prev1);
int convert_symbol_to_int(int count, SYMBOL *s);
void add_character_to_model( int c);
void flush_model( void );
```

```
Listing 2 - coder.c
* SOURCE: Dr. Dobbs Journal, Feb 1991 + minor modifications by
      Arik Gordon

    This file contains the code needed to accomplish arithmetic

    coding of a symbol. All the routines in this module need

    to know in order to accomplish coding is what the probabilities

    and scales of the symbol counts are. This information is

• generally passed in a SYMBOL structure.
* This code was first published by Ian H. Witten, Radford M. Neal,
* and John G. Cleary in "Communications of the ACM" in June 1987,
* and has been modified slightly.
#include <stdio.h>
#include "acoder.h"
#include "abitio.h"
#include "ACCMP.H"
* These four variables define the current state of the arithmetic

    coder/decoder. They are assumed to be 16 bits long. Note that

• by declaring them as short ints, they will actually be 16 bits
 • on most 80x86 and 680x0 machines, as well as VAXen.
static unsigned short int code; /* The present input code value
static unsigned short int low; /* Start of the current code range
static unsigned short int high; /* End of the current code range
                            /* Number of underflow bits pending */
long underflow bits;
 * This routine must be called to initialize the encoding process.
 * The high register is initialized to all 1s, and it is assumed that
 • it has an infinite string of 1s to be shifted into the lower bit
 * positions when needed.
void initialize_arithmetic_encoder0
   low = 0;
   high = 0xffff:
   underflow bits = 0;

    This routine is called to encode a symbol. The symbol is passed

    in the SYMBOL structure as a low count, a high count, and a range,

    instead of the more conventional probability ranges. The encoding

    process takes two steps. First, the values of high and low are

    updated to take into account the range restriction created by the

 * new symbol. Then, as many bits as possible are shifted out to

    the output stream. Finally, high and low are stable again and

    the routine returns.
```

PCT/US95/13296

28

```
•/
void _fastcall encode_symbol( SYMBOL *s )
  long range;
 * These three lines rescale high and low for the new symbol.
  range = (long) (high-low) + 1;
  high = low + (unsigned short int)
          ((range * s-> high_count) / s-> scale - 1);
  low = low + (unsigned short int)
          ((range * s->low_count) / s->scale);
 * This loop turns out new bits until high and low are far enough

    apart to have stabilized.

   for (;;)
 * If this test passes, it means that the MSDIgits match, and can
 • be sent to the output stream.
     If ((high & 0x8000) == (low & 0x8000))
      output_bit(high & 0x8000);
        while (underflow_bits > 0)
      output bit(-high & 0x8000);
          underflow_bits-;
        }
      }
  * If this test passes, the numbers are in danger of underflow, because
  * the MSDigits don't match, and the 2nd digits are just one apart.
      else if ((low & 0x4000) &&!(high & 0x4000))
        underflow bits + = 1;
        IOW &= 0x3fff;
        high | = 0x4000;
      else
      return;
      low < < = 1;
      high <<=1;
      nigh | = 1;
    }
   * At the end of the encoding process, there are still significant

    bits left in the high and low registers. We output two bits,

   • plus as many underflow bits as are necessary.
  void flush_arithmetic_encoder()
```

```
output_bit(low & 0x4000);
  underflow bits + +;
  while ( underflow_bits- > 0)
  output_bit(-low \frac{1}{6} 0x4000);
* When decoding, this routine is called to figure out which symbol
* is presently waiting to be decoded. This routine expects to get
* the current model scale in the s->scale parameter, and it returns

    a count that corresponds to the present floating point code:

  code = count/s->scale
int get_current_count(SYMBOL *s)
  tong range;
  snort int count;
  range = (long)(high - low) + 1;
  count = (short int)
       ((((long) (code - low) + 1) * s-> scale-1) / range);
  return( count );
}
 * This routine is called to initialize the state of the arithmetic

    decoder. This involves initializing the high and low registers

    to their conventional starting values, plus reading the first

 * 16 bits from the input stream into the code value.
void initialize_arithmetic_decoder()
{
   int i:
   code = 0;
   for (i = 0; i < 16; i++)
     code < < = 1;
   code + = input_bit0;
   low = 0;
   high = 0xffff;
}

    Just figuring out what the present symbol is doesn't remove

  • It from the input bit stream. After the character has been

    decoded, this routine has to be called to remove it from the

  * Input stream.
 void remove symbol_from_stream(SYMBOL *s)
   long range:
```

```
* First, the range is expanded to account for the symbol removal.
 range = (long)(high - low) + 1;
 high = low + (unsigned short int)
         ((range * s->high_count) / s->scale - 1);
 low = low + (unsigned short int)
        ((range * s->low_count) / s->scale);

    Next, any possible bits are shipped out.

•/
 for (;;)
 {
• If the MSDigits match, the bits will be shifted out.
    If ((high & 0x8000) = = (low & 0x8000))
* Eise, if underflow is threatining, shift out the 2nd MSDigit.
    else if ((low & 0x4000) = = 0x4000 && (high & 0x4000) = = 0)
       code ^{=} 0x4000;
       low &= 0x3fff;
       high | = 0x4000;
    }
 * Otherwise, nothing can be shifted out, so I return.
     else
     return;
     iow < < = 1;
     high <<=1;
     high | = 1;
     code < < = 1;
   code + = input_bit0;
}
```

### C:\ARIK\COMPRESS\PTNTSRC\ACODER.H - Thu Aug 25 08:57:10 1994

```
    Listing 1 – acoder.h

* This header file contains the constants, declarations, and
* prototypes needed to use the arithmetic coding routines. These

    declarations are for routines that need to interface with the

* arithmetic coding stuff in acoder.c
•/
#define MAXIMUM_SCALE 2048 // 16383 /* Maximum allowed frequency count */
#define ESCAPE 256 /* The escape symbol
                   -1 /* The output stream empty symbol */
#define DONE
                   -2 /* The symbol to flush the model */
#define FLUSH
* A symbol can either be represented as an int, or as a pair of
* counts on a scale. This structure gives a standard way of
• defining it as a pair of counts.
typedef struct {
         unsigned short int low count;
         unsigned short int high_count;
         unsigned short int scale;
        } SYMBOL;
extern long underflow_bits; /* The present underflow count in */
                 /* the arithmetic coder.
 * Function prototypes.
void initialize_arithmetic_decoder0;
void remove_symbol_from_stream(SYMBOL *S);
void initialize_arithmetic_encoder( void );
void encode_symbol( SYMBOL *s );
void flush_arithmetic_encoder0;
int get_current_count(SYMBOL *s);
```

# C:\ARIK\COMPRESS\PTNTSRC\ABITIO.C - Thu Aug 25 09:12:46 1994

```
• Listing 4 - abitio.c
* SOURCE: Dr. Dobbs Journal, Feb 1991 + minor modifications by
      Arik Gordon

    This routine contains a set of bit oriented i/o routines

    used for arithmetic data compression. The important fact to

    know about these is that the first bit is stored in the msb of

    the first byte of the output, like you might expect.

    Both input and output maintain a local buffer so that they only

* have to do block reads and writes. This is done in spite of the
* fact that C standard I/O does the same thing. If these

    routines are ever ported to assembly language the buffering

    will come in handy.

#include <stdio.h>
finclude <stdlib.h>
#include "acoder.h"
finclude "abitio.h"
finclude "AGCMP.H"
#define BUFFER SIZE 8192
                               /* This is the i/o buffer */
static char *buffer:
                                   /* Pointer to current byte */
static char *current byte;
                                  /* During output, this byte */
static int output mask;
                       /* contains the mask that is */
                       /* applied to the output byte*/
                       /* if the output bit is a 1 */
                                  /* During input, these three */
static int input_bytes_left; static int input_bits_left;
                                 /* variables keep track of my*/
                               /* input state. The past_eof*/
static int past_eof;
                       /* byte comes about because */
                       /* of the fact that there is */
                                    /* a possibility the decoder */
static long total_bytes;
                       /* can legitimately ask for */
                       /* more bits even after the */
                       /* entire file has been
                       /* sucked dry.
static FILE *stream:
 * This routine is called once to initialze the output bitstream.

    All it has to do is set up the current_byte pointer, clear out

 * all the bits in my current output byte, and set the output mask
 * so it will set the proper bit next time a bit is output.
 void initialize_output_bitstream(char *file, void *header, unsigned int header_size)
   buffer = malloc(BUFFER SIZE + 2);
```

# C:\ARIK\COMPRESS\PTNTSRC\ABITIO.C - Thu Aug 25 09:12:46 1994

```
if (buffer = = NULL) {
    printf("\niobs:no mem\n");
    exit(9):
  total bytes = 0L;
  current byte = buffer;
  *current_byte = 0;
  output_mask = 0x80;
  stream = fopen(file, "wb");
  setvbuf( stream, NULL, _IOFBF, 8192 );
  total_bytes + = fwrite(header, 1, header_size, stream);
  //printf("total_bytes = %id\n", total_bytes);
}
* The output bit routine just has to set a bit in the current byte
 * if requested to. After that, it updates the mask. If the mask
 * snows that the current byte is filled up, it is time to go to the
 * next character in the buffer. If the next character is past the
 • end of the buffer, it is time to flush the buffer.
void output_bit(int bit)
  if (bit)
     *current_byte | = output_mask;
   output mask >> = 1;
   if (output_mask = = 0)
     output mask = 0x80;
     current_byte++;
     if (current_byte = = (buffer + BUFFER_SIZE))
       total_bytes + = fwrite( buffer, 1, BUFFER_SIZE, stream );
       current byte = buffer;
      current_byte = 0;
   }
}

    when the encoding is done, there will still be a lot of bits and

  • bytes sitting in the buffer waiting to be sent out. This routine

    is called to clean things up at that point.

 long flush_output_bitstream(void *neader, unsigned int neader_size)
   total_bytes + = fwrite( buffer, 1, (size_t)( current_byte - buffer ) + 1, stream );
    current byte - buffer;
    fseekstream, OL, SEEK_SET);
    memcpy (header, &total_bytes, sizeof(long));
    fwrite(header, header_size, 1, stream);
    fclose(stream);
    free(buffer);
    neapmin0:
    return(total_bytes);
```

# C:\ARIK\COMPRESS\PTNTSRC\ABITIO.C - Thu Aug 25 09:12:46 1994

```
* Bit oriented input is set up so that the next time the input_bit

    routine is called, it will trigger the read of a new block. That

    is why input_bits_left is set to 0.

void initialize_input_bitstream(char *file, void *header, unsigned int header_size)
  buffer = malloc(BUFFER_SIZE + 2);
  if (buffer = = NULL) {
    printf("\nlibs:no mem\n");
    exit(9);
  input bits left = 0;
  input_bytes_left = 1;
  past_eof = 0;
  stream = fopen(file, "rb");
  setvbuf(stream, NULL, _IOFBF, 8192);
  fread(header, 1, header_size, stream);
 close input_bitstream0
 {
   free(buffer);
    neapmin0:
   fclose(stream):
 }
 * This routine reads bits in from a file. The bits are all sitting
  • in a buffer, and this code pulls them out, one at a time. When the
  * buffer has been emptied, that triggers a new file read, and the
  * pointers are reset. This routine is set up to allow for two dummy
  * bytes to be read in after the end of file is reached. This is because
  * we have to keep feeding bits into the pipeline to be decoded so that
  * the old stuff that is 16 bits upstream can be pushed out.
 int input_bit0
   if (input_bits_left == 0)
      current byte++;
      input_bytes_left-;
      input_bits_left = 8;
      if (input_bytes_left == 0)
        input_bytes_left = fread( buffer, 1, BUFFER_SIZE, stream );
        if (input_bytes_left = = 0)
           if ( past_eof )
              fprintf( stderr, "Bad input file\n" );
              exit(-1);
            else
```

```
C:\ARIK\COMPRESS\PTNTSRC\ABITIO.C - Thu Aug 25 09:12:46 1994
```

```
past_eof = 1;
    input_bytes_left = 2;
}

current_byte = buffer;
}
input_bits_left-;
return ((*current_byte >> input_bits_left) & 1);
```

# C:\ARIK\COMPRESS\PTNTSRC\ABITIO.H - Thu Aug 25 09:12:56 1994

```
/*
    Listing 3 - abitio.n
    This header file contains the function prototypes needed to use
    the bitstream i/o routines.
    '/
int input_bit0;
void initialize_output_bitstream(char *file, void *header, unsigned int header_size);
long flush_output_bitstream(void *neader, unsigned int header_size);
void output_bit(int bit);
void initialize_input_bitstream(char *file, void *neader, unsigned int header_size);
```

WO 96/12245 PCT/US95/13296

37

APPENDIX B

C:\ARIK\COMPRESS\PTNTSRC\AGEXP.C - Sun Aug 28 07:04:42 1994
AGEXP DECOMPRESSION UTILITY
The agexp program decompresses files created by agcmp to a binary ratsterized file (no headers) on the disk.
(File size is fixed and determined in agcmp.h)
agexp.c - the main loop for decompression. Retrieves MR codes from the arithmetic coder and re-generates the raw binary file.
The following sources are common to both programs - agcmp and agexp (Decompression) and handle the statistical estimation (element frequency accumulation) and the arithmetic coding:
amdl.c - Statistical estimation, Based on a source from Dr. Dobbs journal, February 1991, "Arithmatic Coding and Statistical Modeling" by Mark R. Nelson, but modified to fit compression of MR codes.
acoder.c, abitio.c - implement the arithmetic coder, based on Dr. Dobbs Journal.
COMPILATION:
agexp: cc agexp.c amdi.c acoder.c abitio.c
FURTHER INFORMATION about agexp.c:
AUTHOR: Arik Gordon
INPUT: compressed file.  OUPUT: A rastered file (No headers!) with 1728 binary pixels per line  USAGE: agexp COMPRESSED_FILE_NAME RASTER_FILE_NAME  Desc: This is the main loop for agexp utility. It makes calls to the arithmetic coder to retrieve the MR codes, and than builds a ratered binary image.
<pre>finclude <stdlo.h> finclude <stdlib.h> finclude <string.h> finclude <fcntl.h> finclude &lt; memory.h&gt; finclude &lt; mailoc.h&gt; finclude <sys\types.h> finclude <sys\types.h> finclude <sys\table <dos.h="" <sys\table="" finclude=""></sys\table></sys\types.h></sys\types.h></fcntl.h></string.h></stdlib.h></stdlo.h></pre>

```
#include "acoder.h"
#include "amodel.h"
#include "abitio.h"
#include "agcmp.h"
find next(int color, int pos, char *line, int len);
int uncompress_strip_and_save(unsigned char *compressed,long compressed_size,int fdo);
mr_uncompress(unsigned char *line, unsigned char *prev);
void huf_uncompress(unsigned char *line, unsigned char *str);
void get_bit_stream(char *str,char *bufl.long compressed_size);
Int_pack8(unsigned char *line,unsigned char *bufl;
int find_b1(int a0_color,int a0,char *line,int length);
void vertical_code(int *a0_color,int *a0,char *prev,int length,char *curr,int offset);
int find_huf_len(int *a0_color);
#define STRIP SIZE 100 // can be any number, determines buffer size
main(int argc, char *argv())
  if (argc! = 3) {
    fprintf(stderr, "\nUsage: %s G3_output_file_name IMC_file_name \n", argv(0);
    exit(9):
   agexp(argv[1], argv[2]);
agexp(char *infile, char *outfile)
   char line(PELS_PER_LINE), prev_line(PELS_PER_LINE), *bufo;
   int fdo;
   unsigned char *compressed:
   long compressed size; // in bits
   int j=0, line_num = 0;
   AG_HEADER ag_header;
   if ((fdo = open(outfile, O_WRONLY | O CREAT | O_TRUNC | O_BINARY, S_IREAD | S_IWRITE)) < 1)
   BigErr(9, "AGEXP: Can't open outfile");
   if ( (bufo = malloc(STRIP SIZE*BYTES PER_LINE)) == NULL )
   BigErr(9, "AGEXP: no mem");
   memset(prev_line, 0, PELS_PER_LINE);
   initialize_model0;
   init mr model0;
   initialize input bitstream(infile, &ag_header, sizeof(ag_header));
   initialize_arithmetic_decoder0;
   init_get_10;
   printf("LINES: %id TOTAL: %id\n", ag_header.number_of_lines_in_file, ag_header.total_bytes);
   while (mr_uncompress(line, prev_line) != -1) {
   if (line num + + \% 100 = = 0)
      printf("line %d\r", line_num-1);
```

```
memcpy(prev_line, line, PELS_PER_LINE);
 pack8(line, bufo+j*BYTES_PER_LINE);
 j++;
 if () = = STRIP SIZE) (
    Write(fdo, bufo, BYTES_PER_LINE*STRIP_SIZE);
  if (i! = 0)
   write(fdo, bufo, BYTES_PER_LINE*J);
  free amdi bufs0;
  close get 10;
  free(bufo);
  close(fdo);
}
/** This loop decompresses one rasterized line! **/
mr_uncompress(unsigned char *line, unsigned char *prev)
  int a0 color - WHITE, b1, b2;
  int a0 = 0, Ma0a1, Ma1a2, code;
  line[0] = WHITE: // force a white pixel on line beginning
  while (20 < PELS PER LINE) { // while not EOL
  code = get_1(MR_CONTROL);
  if (code = = EOF)
    return(-1);
  switch (code) {
  case VO:
    vertical_code(&a0_color, &a0, prev, PELS_PER_LINE, line, 0);
    break:
  case VR1:
    vertical_code(&a0_color, &a0, prev, PELS_PER_LINE, line, 1);
    break:
  case VL1:
    vertical_code(&a0_color, &a0, prev, PELS_PER_LINE, line, -1);
     break:
  case HOR:
     Ma0a1 = find_huf_len(&a0_colon; // gpos is gloabily known
    Ma1a2 = find huf len(&a0_colon; memset(line+a0, a0_color, Ma0a1);
     memset(line+a0+Ma0a1, la0_color, Ma1a2);
     a0 + = (Ma0a1 + Ma1a2);
     break:
   case PASS:
     b1 = find_b1(a0_color, a0, prev, PELS_PER_LINE);
     b2 = find_nextla0_color, b1+1, prev, PELS_PER_LINE);
     memset(line +a0, \overline{a0} color, b2-a0);
     a0 - b2;
     break:
```

```
case VR2:
        vertical_code(&a0_color, &a0, prev, PELS_PER_LINE, line, 2);
        break:
      case VL2:
        vertical_code(&a0_color, &a0, prev, PELS_PER_LINE, line, -2);
     case VR3:
        vertical_code(&a0_color, &a0, prev, PELS_PER_LINE, line, 3);
     case VL3:
       vertical_code(&a0_color, &a0, prev, PELS_PER_LINE, line, -3);
     return(1):
  }
  find_b1(int a0_color, int a0, char *line, int length)
    int b1;
    If (lineta0) = = a0_color)
      b1 = find_next(1a0_color, a0+1, line, length);
    eise {
      b1 = find_next(a0_color, a0+1, line, length);
      b1 = find_nextda0_color, b1+1, line, length);
    }
    return(b1);
 }
 /* Builds partial rasterized line according to MR codes */
 void vertical_code(int *a0_color, int *a0, char *prev, int length, char *curr, int offset)
   int a1, b1;
   b1 = find_b1(*a0_color, *a0, prev, PELS_PER_LINE);
   //printf(" (\vec{b}1 = \%d)(n^*, b1);
   a1 = b1 + offset;
  //printf("MEMSET (vert): %d, %d, %d\t\t(a1 = %d, a0 = %d)\n", *a0, *a0_color, a1-*a0, a1, *a0);
  memset(curr + *a0, *a0_color, a1-*a0);
   *a0_color = !(*a0_colori;
   *a0 = a1:
                                                                                     ....
}
find_huf_len(int *a0_color)
  int len:
  len = BW_SYMBOLS - 1- get_1(*a0_color);
  if den > 63)
    len = (len - 63) * 64;
 if (len < 64) {
```

```
*a0_color = !*a0_color;
     return(len):
  ) else
     return(len + find_huf_len(a0_color));
find_next(int color, int pos, char *line, int len)
{
  int i;
  char *ptr;
  if (pos > len-1)
  return(len);
  if ((ptr = memchr(line+pos, color, len-pos)) == NULL)
    return len;
   else
    return (ptr-line);
}
BigErr(int n, char *s) // too many bits in strip.
  printf("Err %d - %s", n. s);
   exit(9);
}
static int *count, prev, prev1;
/**** Arithmetic decoder staff *****/
init_get_10
{
  count = malloc(sizeof(int) * 3); // mr + b&w
  memset(count, 0, sizeof(int) * 3);
  prev = prev1 = 0:
}
/**** Arithmetic decoder staff *****/
close_get_10
  free(count);
  close_input_bitstream0;
/***** gets one symbol from the arithmetic coder */
get_1(int mode)
  SYMBOL S;
  int c;
  get_symbol_scale( &s, mode, prev, prev1 );
  countimodel - get current count( &s );
  c = convert_symbol_to_int(countimodel, &s);
  if (mode = = MR_CONTROL) {
    prev1 = prev;
    prev - c;
```

```
remove_symbol_from_stream( &s );
  if(c! = EOF)
     update_model(c);
  return(c);
}
static pack_bytes, byte;
/*** routines for packing bytes to bits (for output) ***/
pack8(unsigned char *line, unsigned char *buf)
  int i=0, j, k, color, new_pos, pos=0, n, bits, count=0;
  pack_bytes = 0;
  byte = 0;
  color = line[0];
  while ((new_pos = find_next(icolor, pos, line, PELS_PER_LINE)) != PELS_PER_LINE) {
    pack_n_bits(color, new_pos - pos, &count, buf);
    pos = new_pos;
    color = !color;
  pack_n_bits(color, PELS_PER_LINE - pos, &count, buf);
}
pack_n_bits(int color, int n, int *count, cnar *buf)
  int bits:
  static b_table[] = {0,1,3,7,15,31,63,127,255};
  while ((*count+n) > 8) {
  if (*count! = 0) {
    bits = 8 - *count;
    byte = (byte < < bits);
    if (color)
      byte + = ((color < < bits) - 1);
    bufipack_bytesi = byte;
    pack_bytes++;
    n-=bits:
     *count = 0;
  } eise {
    if (color)
      byte = 255;
    else
    byte = 0;
    buffpack bytes! = byte:
    pack_bytes++;
    n-=8;
  byte = (byte < < n);
  if (cotor)
    byte + = b table[n]:
```

```
(*count) + = n;

if (*count = = 8) {
    bufipack_bytes1 = byte;
    pack_bytes + +;
    *count = 0;
}
```



#### CLAIMS

1. A method for compressing binarized images comprising:

receiving a binarized image and generating a first sequence of first code symbols representing the binarized image wherein at least one row of the image is represented in run-length encoded format; and

encoding a portion of the first sequence of code symbols using a preliminary encoding scheme, thereby to provide a first portion of a second sequence of symbols, and, while encoding, accumulating the frequency at least some of the first code thus symbols encoded and generating an additional portion the second sequence using a modified version of the code scheme such that at least one subsequent code symbol the first sequence with a large accumulated frequency encoded more compactly in the second portion least one subsequent code symbol in the first sequence with a small accumulated frequency.

- 2. A method according to claim 1 wherein a modified Huffman coding scheme is employed to generate the first sequence of first code symbols.
- 3. A method for compressing binarized images comprising:

receiving a binarized image and generating a first sequence of first code symbols representing the binarized image comprising a representation of one row of the binarized image and a representation of differences between at least one subsequent row and at least one previous row; and

encoding a portion of the first sequence of code symbols using a preliminary encoding scheme, thereby to provide a first portion of a second sequence of code

symbols, and, while encoding, accumulating the frequency of at least some of the first code symbols thus far encoded and generating an additional portion of the second sequence using a modified version of the code scheme such that at least one subsequent code symbol in the first sequence with a large accumulated frequency is encoded more compactly in the second portion than at least one subsequent code symbol in the first sequence with a small accumulated frequency.

- 4. A method according to any of claims 1 3 wherein the encoding scheme used to encode the first sequence of code symbols is continually modified such that code symbols in the first sequence with a large accumulated frequency are encoded more compactly in the second portion than subsequent code symbols in the first sequence with a small accumulated frequency.
- 5. A method according to any of the preceding claims wherein a modified-read coding scheme is employed to generate the first sequence of first code symbols.
- 6. A method according to any of the preceding claims 1 4 wherein a modified modified-read coding scheme is employed to generate the first sequence of first code symbols.
- 7. A method according to any of the preceding claims and also comprising binarizing a discrete level image, thereby to provide the binarized image.
- 8. A method according to any of the preceding claims 1 6 and also comprising binarizing a continuous level image, thereby to provide the binarized image.
- 9. A method according to any of the preceding

claims wherein arithmetic coding is employed to translate the accumulated frequency of at least some of the first code symbols into second code symbols.

- 10. Apparatus for compressing binarized images comprising:
- a run-length encoder operative to receive a binarized image and to generate a first sequence of first code symbols representing the binarized image wherein at least one row of the image is represented in run-length encoded format; and

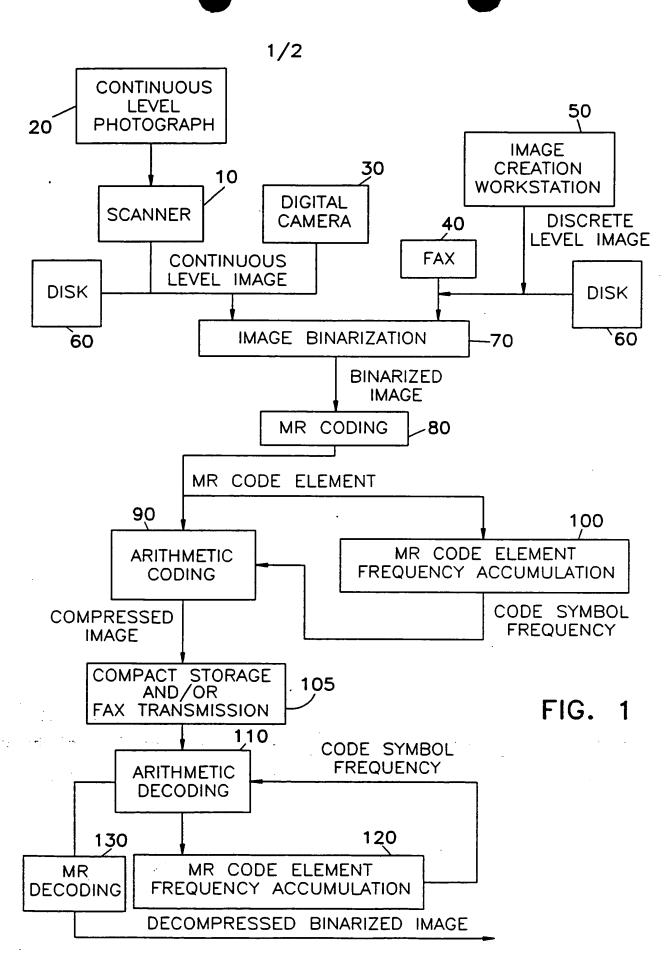
an adaptive encoder operative to encode a portion of the first sequence of code symbols using a preliminary encoding scheme, thereby to provide a first portion of a second sequence of code symbols, and, while encoding, to accumulate the frequency of at least some of the first code symbols thus far encoded and to generate an additional portion of the second sequence using a modified version of the code scheme such that at least one subsequent code symbol in the first sequence with a large accumulated frequency is encoded more compactly in the second portion than at least one subsequent code symbol in the first sequence with a small accumulated frequency.

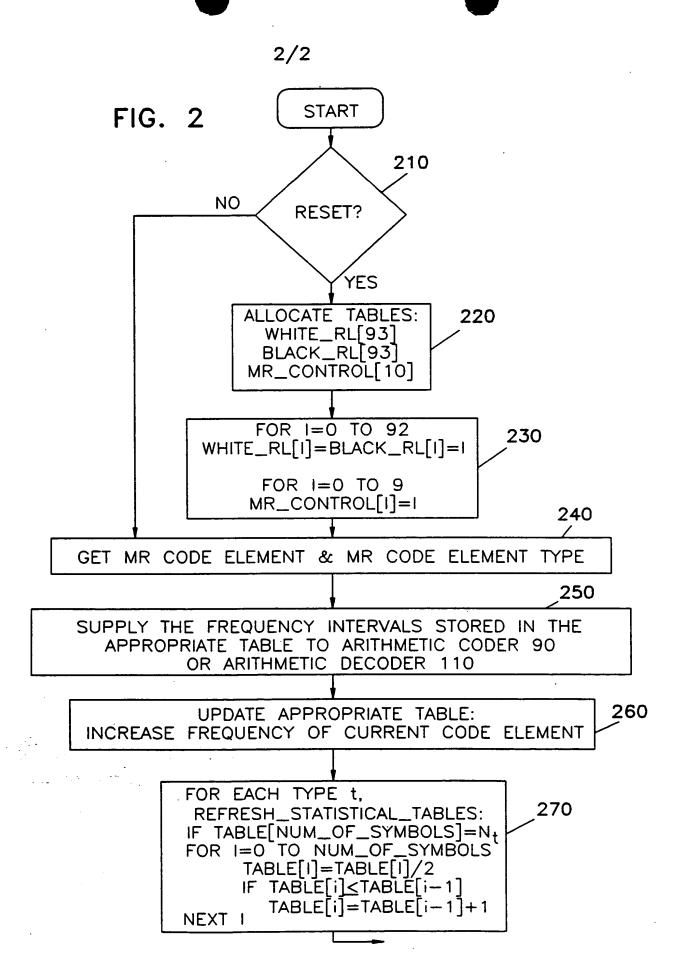
- 11. Apparatus for compressing binarized images comprising:
- a binarized image compressor operative to receive a binarized image and to generate a first sequence of first code symbols representing the binarized image, the first sequence comprising a representation of one row of the binarized image and a representation of differences between at least on subsequent row and at least one previous row; and

an adaptive encoder operative to encode a portion of the first sequence of code symbols using a

preliminary encoding scheme, thereby to provide a first portion of a second sequence of code symbols, and, while encoding, to accumulate the frequency of at least some of the first code symbols thus far encoded and to generate an additional portion of the second sequence using a modified version of the code scheme such that at least one subsequent code symbol in the first sequence with a large accumulated frequency is encoded more compactly in the second portion than at least one subsequent code symbol in the first sequence with a small accumulated frequency.

- 12. Apparatus according to any of the preceding claims 10 11 wherein the binarized image compressor employs a modified-read coding scheme to generate the first sequence of first code symbols.
- 13. Apparatus according to any of the preceding claims 10 11 wherein the binarized image compressor employs a modified modified-read coding scheme to generate the first sequence of first code symbols.
- 14. Apparatus according to any of the preceding claims 10 13 wherein the adaptive encoder employs arithmetic coding to translate the accumulated frequency of at least some of the first code symbols into second code symbols.
- 15. Apparatus according to any of claims 10 14 wherein the encoding scheme used to encode the first sequence of code symbols is continually modified such that code symbols in the first sequence with a large accumulated frequency are encoded more compactly in the second portion than subsequent code symbols in the first sequence with a small accumulated frequency.





A. CLASSIFICATION OF SUBJECT MATTER  IPC(6) :G06K 9/00  US CL : 382/232, 237, 238, 239, 244, 245, 246, 247, 248  According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED				
Minimum d	ocumentation searched (classification system follower	d by classification symbols)		
U.S. : 382/232, 237, 238, 239, 244, 245, 246, 247, 248				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  APS				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where ap	ppropriate, of the relevant passages	Relevant to claim No.	
Y	US, A, 4,888,645 (MITCHELL ET AL) 19 December 1989, see abstract, column 2, lines 4-14, column 2, lines 44-63, column 3, lines 21-65, column 4, lines 1-63, column 5, lines 15-68.		1-4, 10-13	
Y	US, A, 5,274,719 (TANIGUCHI ET see abstract.	1-4, 10-13		
Υ	US, A, 5,056,154 (AONO ET Al abstract.	1-4, 10-13		
Y	US, A, 5,225,904 (GOLIN ET abstract.	AL) 06 July 1993, see	1-4, 10-13	
Further documents are listed in the continuation of Box C. See patent family annex.				
Special categories of cited documents: "T" later document published after the international filing date or priority				
"A" document defining the general state of the art which is not considered be part of particular relevance date and not in conflict with the application but cited to understand the principle or theory underlying the invention				
*E* cartier document published on or after the international filing date and document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step				
*L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other "Y" document of particular relevance: the claimed invention cannot b				
special reason (as specified)  *O* document referring to an oral disclosure, use, exhibition or other		considered to involve an inventive step when the document is combined with one or more other such documents, such combination		
means being obvious to a person skilled in the art  *P* document published prior to the international filing date but later than *& document member of the same patent family				
the priority date claimed  Date of the actual completion of the international search  Date of mailing of the international search				
22 FEBRUARY 1996 05 MAR 1996				
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Weshington D.C. 2023		Authorized officer LEO BOUDREAU		

Telephone No. (703) 308-7595

Facsimile No. (703) 305-3230

B x I Observations where certain claims were f und unsearchable (C ntinuati n f item 1 f first sheet)				
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:				
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:				
Claims Nos.:     because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:				
3. X Claims Nos.: 5-9, 14-15 because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).				
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)				
This International Searching Authority found multiple inventions in this international application, as follows:				
·				
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.				
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.				
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:				
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:				
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.				
140 protest accompanies the payment of additional section rees.				

 $\sim \frac{1}{6}$